

## WEAKLY RAMSEY $P$ POINTS

BY

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**ABSTRACT.** If the continuum hypothesis (CH) holds, then for any  $n$  Ramsey  $P$  point  $D$  and any  $k > 1$  there exist many  $n + k$  Ramsey  $P$  points which are immediate Rudin-Keisler successors of  $D$ . There exist (CH) many 5 Ramsey  $P$  points whose constellations are not linearly ordered.

A nonprincipal ultrafilter  $D$  on  $\omega$  is  $n$  Ramsey ( $n \in \omega, n \geq 1$ ) if  $n$  is minimal for  $D$  with the property that for every partition  $F: [\omega]^2 \rightarrow n + 1$ , there is a set  $A \in D$  such that  $F$  omits a color on  $[A]^2$ .  $D$  is *weakly Ramsey* if  $D$  is  $n$  Ramsey for some  $n \geq 1$ . In [D], Dagenet showed (assuming CH) that, for every  $n \geq 1$ , there exist  $n$  Ramsey  $P$  points which are 2 square (an  $n$  Ramsey ultrafilter is 2 square [2 rangé in French] if it has  $n$  nonstandard constellations). In this paper, we show (CH) that there exist many weakly Ramsey  $P$  points which are not 2 square (this answers a question of Dagenet [D]). The underlying technique is a well-known sort of inductive construction; the specifics are in §2. §3 contains results from finite combinatorics which are used in the proofs of the main theorems in §§4 and 5. Throughout the paper,  $D$  and  $E$  are ultrafilters on  $\omega$ , and  $f, g, h$  are functions from  $\omega$  (or  $\omega^2$ ) to  $\omega$ .  $\mathbf{N}$  is the complete first order structure on  $\omega$ , and an element of the universe of the ultrapower  $D \text{ prod } \mathbf{N}$  is denoted  $[f]_D$ . We identify  $\mathbf{N}$  with its canonical image in  $D \text{ prod } \mathbf{N}$ , and if  $f(E) = D$ ,  $f^*$  denotes the canonical embedding of  $D \text{ prod } \mathbf{N}$  into  $E \text{ prod } \mathbf{N}$ .

1. We assume basic results about  $P$  points, the Rudin-Keisler ordering (denoted  $\leq$ ), and ultrapowers of  $\mathbf{N}$  and their submodels (as contained in, for example, [P] and [B1]).  $E$  is a *strong immediate successor* of  $D$  if  $D < E$  and  $(\forall D' < E) D' \leq D$ . An  $n$  coloring is a partition  $G: [\omega]^2 \rightarrow n$ ;  $G$  is *irreducible on  $D$*  if  $(\forall A \in D) |G''[A]^2| = n$  (" $''$ " means set image); otherwise  $G$  is *reducible on  $D$* .  $D$  is  $\leq n$  Ramsey if  $D$  is principal or  $D$  is  $k$  Ramsey for some  $k \leq n$ ;  $D$  is  $> n$  Ramsey if  $D$  is not  $\leq n$  Ramsey, and similarly for  $\geq n$  Ramsey and  $< n$  Ramsey. Then  $D$  is  $\leq n$  Ramsey iff  $(\forall k \geq 1)$  every  $(n + k)$  coloring is reducible on  $D$ . The following theorem consists of straightforward generalizations of results in [B1], in which the term "weakly Ramsey" denotes what herein is defined as "Ramsey or 2 Ramsey".

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**THEOREM 1.1.** *Suppose  $f(E) = D$  and  $E$  is  $n$  Ramsey. Then  $D$  is  $\leq n$  Ramsey, and  $D$  is  $n$  Ramsey iff  $f$  is an  $E$ -isomorphism (i.e. one-to-one on a set in  $E$ ). If  $D$  is  $n - 1$  Ramsey, then  $E$  is a strong immediate successor of  $D$ .*

Since an ultrafilter is minimal iff it is Ramsey, it follows that if  $D$  is weakly Ramsey, then  $(\exists E \leq D)(E \text{ is Ramsey})$ . Since  $ZFC \not\vdash (\exists \text{ a Ramsey ultrafilter})$  (see [K]), then  $ZFC \not\vdash (\exists \text{ a weakly Ramsey ultrafilter})$ .

Suppose that  $E$  is a  $P$  point,  $D$  is Ramsey and  $f(E) = D$ . A theorem of Puritz [P] shows that any two nonstandard submodels of  $E \text{ prod } \mathbb{N}$  have intersection cofinal in  $E \text{ Prod } \mathbb{N}$ , and it follows that  $f^* D \text{ prod } \mathbb{N}$  is included in every nonstandard submodel of  $E \text{ prod } \mathbb{N}$ . Equivalently,  $\forall g(\exists A \in E)(g \text{ is constant on } A \text{ or } f \text{ is } g \text{ fiberwise constant on } A)$ . It also follows that if  $h$  is  $f$  fiberwise one-to-one on a set in  $E$ , then in fact  $h$  is one-to-one on a set in  $E$ .

**THEOREM 1.2.** *With  $D, E$ , and  $f$  as above, let  $F: [\omega]^2 \rightarrow M$  ( $M \in \omega$ ). Then  $(\exists A \in E)|F''\{\{x, y\} \in [A]^2: f(x) \neq f(y)\}| = 1$ .*

**PROOF.** Let  $B_i = \{n \in \omega: \{m > n: F\{n, m\} = i\} \in E\}$  ( $i = 0, 1, \dots, M - 1$ ). Without loss of generality,  $B_0 \in E$ , and we may assume  $f$  is finite-to-one on  $B_0$ . For each  $n \in B_0$ , let  $A_n \in E, A_n \subseteq \{m \in B_0: m > n \text{ and } F\{n, m\} = 0\}$ , such that  $A_{n+1} \subseteq A_n$ . Define  $g$  by  $g(n) = \text{greatest } k \text{ such that } n \in A_k \text{ if } n \in A_0, \text{ and } g(n) = 0 \text{ if } n \notin A_0$ . Then if  $n \in A_0, g(n) = k$  implies that  $k < n$  and for all  $j \in B_0, j < k \rightarrow F\{j, n\} = 0$ . It is easy to check that  $g$  is not constant on any set in  $E$ , so there is a set  $B \in E$  such that  $f$  is  $g$  fiberwise constant on  $B$ ; we can assume  $B \subseteq B_0$ . Define a partition  $G$  by

$$G\{s, t\} = \begin{cases} 0 & \text{if } (\forall z \in f^{-1}\{s\} \cap B)(\forall w \in f^{-1}\{t\} \cap B)F\{z, w\} = 0, \\ 1 & \text{otherwise.} \end{cases}$$

Since  $D$  is Ramsey, there is a set  $S \in D$  such that  $G$  assumes only one value  $\eta$  on  $[S]^2$ . We claim  $\eta = 0$  (and then the proof is complete by setting  $A = B \cap f^{-1}S$ ). Suppose, for contradiction, that  $\eta = 1$ , and let  $x_0$  be the least element of  $S$ . For each  $y \in S, y > x_0$ , there exist  $n_y \in B \cap f^{-1}\{x_0\}, m_y \in B \cap f^{-1}\{y\}$  such that  $F\{n_y, m_y\} \neq 0$ . Since  $B \cap f^{-1}\{x_0\}$  is finite and  $S$  is infinite,  $(\exists \bar{n} \in B \cap f^{-1}\{x_0\})(\bar{n} = n_y \text{ for infinitely many } y \in S)$ . Since there is at most one  $m_y$  in each fiber of  $f$ , and since  $g \upharpoonright B$  takes on different values on different fibers of  $f$ , there is a  $\bar{y} \in S$  such that  $\bar{n} = n_{\bar{y}}$ , and  $g(m_{\bar{y}}) > \bar{n} = n_{\bar{y}}$ . But then  $m_{\bar{y}} \in A_{g(m_{\bar{y}})} \subseteq A_{\bar{n}}$ , so  $F\{n_{\bar{y}}, m_{\bar{y}}\} = 0$ , a contradiction.  $\square$

**COROLLARY 1.3.** *With  $D, E, f$  as in the theorem, the range of  $f^*$  is coinital in the nonstandard part of  $E \text{ prod } \mathbb{N}$ .*

**PROOF.** Let  $[h]_E \in E \text{ prod } \mathbb{N} - \mathbb{N}$ . Define  $G: [\omega]^2 \rightarrow 3$  by

$$G\{x, y\} = \begin{cases} 0 & \text{if } f(x) < f(y) \text{ and } h(x) < h(y), \\ 1 & \text{if } f(x) < f(y) \text{ and } h(x) \succ h(y), \\ 2 & \text{if } f(x) = f(y). \end{cases}$$

By the theorem,  $\exists A \in E$  such that  $G$  assumes only one value  $\eta$  on pairs from  $A$  which lie in different fibers of  $f$ . Clearly  $\eta \neq 2$ , and it is easy to check  $\eta \neq 1$ , using that  $[h]_E$  is nonstandard. So  $\eta = 0$ . Define  $g$  by

$$g(n) = \begin{cases} \min\{h(k): k \in A \cap f^{-1}\{n\}\} & \text{if } n \in f''A, \\ 0 & \text{if } n \notin f''A. \end{cases}$$

Then  $g$  is strictly increasing on  $f''A \in D$ , so  $[g]_D$  is nonstandard, and  $f^*([g]_D) = [g \circ f]_E \leq [h]_E$ .  $\square$

2. Let  $p \in {}^\omega\omega$  (or  $p \in \omega^2$ ). Let  $I$  be the ideal of subsets of  $\omega$  (or  $\omega^2$ ) on which  $p$  is finite-to-one. A  $p$ -fiber measure is a map  $\Gamma: I \rightarrow {}^\omega\omega$  satisfying:

- (i)  $\Gamma(X)(n) \leq |X \cap p^{-1}\{n\}|$ ,
- (ii)  $X \cap p^{-1}\{n\} \subseteq Y \cap p^{-1}\{n\} \rightarrow \Gamma(X)(n) \leq \Gamma(Y)(n)$ , and
- (iii) if  $(\forall n \in \omega)g(n) \leq \Gamma(Y)(n)$ , then  $(\exists X \subseteq Y)\Gamma(X) = g$ .

An example is the *cardinality function*  $C_{X,p}$  defined by  $C_{X,p}(n) = |X \cap p^{-1}\{n\}|$ .

A *cut* in  $D \text{ prod } \mathbb{N}$  is a pair  $\langle S, L \rangle$  where  $S \cup L = D \text{ prod } \mathbb{N}$ ,  $S \cap L = \emptyset$ , and  $(\forall a \in S)(\forall b \in L)a < b$ . Suppose  $p(E) = D$  and  $\Gamma$  is a  $p$ -fiber measure. The *cut associated to  $p$  and  $E$  via  $\Gamma$*  is defined by  $L = \{a \in D \text{ prod } \mathbb{N}: \exists X \in E \cap I, [\Gamma(X)]_D \leq a\}$ ,  $S = D \text{ prod } \mathbb{N} - L$ . This notion, for the case  $\Gamma(X) = C_{X,p}$  (the *cardinality cut associated to  $p$  and  $E$* ) was introduced by Blass in [B1]; results therein generalize trivially to give

**THEOREM 2.1.** *Let  $E$  be a  $P$  point,  $p(E) = D$ ,  $p$  not an  $E$  isomorphism,  $D$  nonprincipal,  $\Gamma$  a  $p$ -fiber measure, and  $\langle S, L \rangle$  the associated cut in  $D \text{ prod } \mathbb{N}$ . Then  $\mathbb{N} \subseteq S$ ,  $L \neq \emptyset$ , and every countable subset of  $L$  has a lower bound in  $L$ .*

Any cut in  $D \text{ prod } \mathbb{N}$  satisfying the conclusions of Theorem 2.1 is a *fair cut*. If  $a_1, a_2, a_3, \dots$  is a strictly increasing  $\omega$ -sequence from  $D \text{ prod } \mathbb{N}$ , the cut defined by  $S = \{b \in D \text{ prod } \mathbb{N}: (\exists n \in \omega)b \leq a_n\}$  is always a fair cut, since  $D \text{ prod } (\omega; <)$  is  $\aleph_1$ -saturated (see [CK, p. 305]).

A *condition  $C$*  on a set  $X$  is simply a statement about  $X$ ; if the statement is true, we say  $X$  *satisfies  $C$* . If  $\langle S, L \rangle$  is a cut in  $D \text{ prod } \mathbb{N}$ ,  $\Gamma$  a  $p$ -fiber measure, then a set  $X$  is *large* if  $(\exists Y \subseteq X)Y \in I$  and  $[\Gamma(Y)]_D \in L$ .

**THEOREM 2.2 (CH).** *Let  $D$  be a  $P$  point,  $\langle S, L \rangle$  a fair cut in  $D \text{ prod } \mathbb{N}$ ,  $p$  the first projection from  $\omega^2$  to  $\omega$ ,  $\Gamma$  a  $p$ -fiber measure,  $\{C_j: j \in J\}$  a set of  $\leq \aleph_1$  conditions such that*

- (i)  $(\exists X \subseteq \omega^2)[\Gamma(X)]_D \in L$ ,
- (ii)  $(\forall X, A \subseteq \omega^2)[X \text{ large} \rightarrow (\exists Z \subseteq X)(Z \text{ large} \wedge (Z \subseteq A \vee Z \cap A = \emptyset))]$ ,
- (iii)  $(\forall X \subseteq \omega^2)(X \text{ large} \rightarrow (\exists Y, Z \subseteq X)(Y, Z \text{ large} \wedge Y \cap Z = \emptyset))$ ,
- (iv)  $(\forall X \subseteq \omega^2)(\forall j \in J)(X \text{ large} \rightarrow (\exists Y \subseteq X)(Y \text{ large} \wedge Y \text{ satisfies } C_j))$ .

*Then there exist  $2^{\aleph_1}$   $P$  points  $E$  on  $\omega^2$  such that  $p(E) = D$ , the associated cut in  $D \text{ prod } \mathbb{N}$  (via  $\Gamma$ ) is  $\langle S, L \rangle$ , and for each  $j \in J$ ,  $E$  contains a set satisfying condition  $C_j$ .*

PROOF. For each  $Z \subseteq \omega^2$ , let  $C_Z$  be the condition  $(X \subseteq Z \vee X \subseteq \omega^2 - Z)$ . For each  $f \in {}^\omega\omega$  such that  $[f]_D \in L$ , let  $C_f$  be the condition  $(X \in I \wedge [\Gamma(X)]_D < [f]_D)$ . Let  $\{D_\alpha: \alpha < \aleph_1\} = \{C_j: j \in J\} \cup \{C_Z: Z \subseteq \omega^2\} \cup \{C_f: [f]_D \in L\}$ . Then the hypotheses of the theorem insure that  $(\forall X \text{ large})(\forall \alpha < \aleph_1)(\exists Y \subseteq X)(Y \text{ large and } Y \text{ satisfies } D_\alpha)$ .

For each  $\rho: \aleph_1 \rightarrow 2$  and each  $\alpha < \aleph_1$ , we define large sets  $A_\alpha^\rho \in I$  such that  $A_{\alpha+1}^\rho$  satisfies  $D_\alpha$ , the sequence  $\{A_\alpha^\rho: \alpha < \aleph_1\}$  is almost decreasing for fixed  $\rho$  (i.e.  $\alpha < \beta \rightarrow A_\beta^\rho - A_\alpha^\rho$  is finite), and if  $\alpha_0$  is minimal such that  $\rho(\alpha_0) \neq \rho'(\alpha_0)$ , then  $A_\alpha^\rho = A_\alpha^{\rho'}$  for  $\alpha < \alpha_0$  and  $A_{\alpha_0}^\rho \cap A_{\alpha_0}^{\rho'} = \emptyset$ . Then set  $E_\rho = \{X \subseteq \omega^2: (\exists \alpha < \aleph_1) A_\alpha^\rho \subseteq X\}$ .

The sets  $A_\alpha^\rho$  are defined by induction on  $\alpha$ . Let  $B_0 \in I$  be large (by (i)), and let  $B_0^0, B_0^1$  be disjoint large subsets of  $B_0$  (by (iii)). Set  $A_0^\rho = A_0^{\rho(0)}$ . If  $\alpha = \gamma + 1$ , let  $\eta = \rho \upharpoonright \alpha$ , let  $A_\beta^\eta = A_\beta^\rho$  for  $\beta < \alpha$  (this is well defined by induction hypothesis), let  $B_\eta^0, B_\eta^1$  be large disjoint subsets of  $A_\gamma^\eta$ , let  $Y_\eta^j$  be a large subset of  $B_\eta^j$  which satisfies  $D_\gamma$ , and let  $A_\alpha^\rho = Y_{\rho \upharpoonright \alpha}^{\rho(\alpha)}$ .

For limit  $\alpha$ , follow the limit ordinal case in Theorem 2 in [B1] (with  $\Gamma(X)$  in place of  $C_X$ ) to obtain, for each  $\eta: \alpha \rightarrow 2$ , a large  $Y_\eta$  such that  $Y_\eta - A_\beta^\eta$  is finite for  $\beta < \alpha, \rho \upharpoonright \alpha = \eta$ . Let  $B_\eta^0, B_\eta^1$  be large disjoint subsets of  $Y_\eta$ , and set  $A_\alpha^\rho = B_{\rho \upharpoonright \alpha}^{\rho(\alpha)}$ .  $\square$

3. The following discussion, up to the statement of Theorem 3.1, is adapted from [AH]. A *system of colors* of length  $n$  is a sequence  $\alpha = (\alpha_0, \dots, \alpha_n)$  of finite, nonempty sets. An  $\alpha$  *pattern*  $Q$  consists of a finite, linearly ordered set  $X$ , and a function  $f_Q: \cup_{j \leq n} [X]^j \rightarrow \cup_{j \leq n} \alpha_j$  such that  $f_Q''[X]^j \subseteq \alpha_j$ . Let  $P = (X, f_P)$  and  $Q = (Y, f_Q)$  be  $\alpha$  patterns.  $P$  and  $Q$  are *isomorphic* if there exists an order preserving bijection  $\psi: X \rightarrow Y$  such that  $(\forall c \in [X]^{<n}) f_P(c) = f_Q(\psi''c)$ . If  $Z \subseteq X$  and  $e, M \in \omega, F: [X]^e \rightarrow M$ , then  $Z$  is *semihomogeneous for  $F$  over  $f_P$*  iff  $(\forall b, c \in [Z]^e)$  (if  $(b, f_P \upharpoonright [b]^{<n}) \cong (c, f_P \upharpoonright [c]^{<n})$ , then  $F(b) = F(c)$ ).  $Q \rightsquigarrow (P)_M^e$  means that for any partition  $F: [Y]^e \rightarrow M$ , there is a  $Z \subseteq Y$  such that  $(Z, f_Q \upharpoonright [Z]^{<n}) \cong P$  and  $Z$  is semihomogeneous for  $F$  over  $f_Q$ . In [AH], Abramson and Harrington proved the following generalization of the finitary Ramsey theorem.

THEOREM 3.1. *Let  $n, e, M \in \omega, \alpha$  a system of colors of length  $n, P$  an  $\alpha$  pattern. Then there is an  $\alpha$  pattern  $Q$  such that  $Q \rightsquigarrow (P)_M^e$ .*

COROLLARY 3.2. *Let  $n, e_1, \dots, e_k, M_1, \dots, M_k \in \omega, \alpha$  and  $P$  as above. Then there is an  $\alpha$  pattern  $Q$  such that  $Q \rightsquigarrow (P)_{M_j}^{e_j}$  for all  $j, 1 \leq j \leq k$ .*

PROOF. Let  $Q_1 \rightsquigarrow (P)_{M_1}^{e_1}, Q_{j+1} \rightsquigarrow (Q_j)_{M_{j+1}}^{e_{j+1}}$ . Then  $Q_k \rightsquigarrow (P)_{M_j}^{e_j}$  for all  $j, 1 \leq j \leq k$ .  $\square$

For notational simplicity, we often fail to distinguish between an  $\alpha$  pattern and its underlying set; furthermore, we write  $f_P \upharpoonright Z$  rather than  $f_P \upharpoonright [Z]^{<n}$ . Temporarily fix, for the remainder of §3,  $k \in \omega, k \geq 1$ , and let  $\alpha$  be the system of colors  $(1, 1, k)$ . If  $P = (X, f_P)$  is an  $\alpha$  pattern, we view  $f_P$  as a coloring on (only) the two element subsets of  $X$ . We say  $P$  is *scattered* if for every triple  $(a, b, c) \in k \times k \times k$ , there are  $z_1, z_2, z_3 \in X$  with  $f_P\{z_1, z_2\} = a, f_P\{z_2, z_3\} = b$ , and  $f_P\{z_3, z_1\} = c$ .

LEMMA 3.3. Let  $l \geq 3$ , let  $Q$  and  $P = (X, f_P)$  be scattered  $\alpha$  patterns such that  $P \rightsquigarrow (Q)_l^2$  and  $|Q| \geq 4$ .

(a) If  $g$  is a function with domain  $X$ , then  $\exists Y \subseteq X, Y \cong Q$ , such that  $g$  is one-to-one or constant on  $Y$ .

(b) There exist  $Y_1, Y_2 \subseteq X, Y_1 \cap Y_2 = \emptyset, Y_1 \cong Y_2 \cong Q$ .

(c) If  $A \subseteq X$ , then  $(\exists Y \subseteq X) Y \cong Q$  such that  $Y \subseteq A$  or  $Y \cap A = \emptyset$ .

(d) If  $G: [X]^2 \rightarrow l$ , then  $(\exists Y \subseteq X) Y \cong Q$  such that  $|G''[Y]^2| < k$ .

PROOF. (a) Define  $F: [X]^2 \rightarrow 2$  by

$$F\{x, y\} = \begin{cases} 0 & \text{if } g(x) = g(y), \\ 1 & \text{if } g(x) \neq g(y). \end{cases}$$

Then there is a  $Y \subseteq X, Y \cong Q$ , such that  $(\forall x, y \in Y) F\{x, y\} = h(f_P\{x, y\})$  for a function  $h: k \rightarrow 2$ . It suffices to show that  $|\text{range}(h)| = 1$ , i.e. that  $F$  is homogeneous on  $Y$ . Suppose not, and let  $\eta_0, \eta_1 < k$  with  $h(\eta_i) = i$ . Find  $a, b, c \in Y$  with  $f_Q\{a, b\} = f_Q\{b, c\} = \eta_0$  and  $f_Q\{a, c\} = \eta_1$ . Then  $g(a) = g(b)$  and  $g(b) = g(c)$ , but  $g(a) \neq g(c)$ , a contradiction.

(b) Let  $Y_1 \subseteq X, Y_1 \cong Q$ , and write  $Y_1$  as the disjoint union of two nonempty sets  $A$  and  $B$ . Let  $C = X - Y_1$ , and define  $F: [X]^2 \rightarrow 3$  by

$$F\{x, y\} = \begin{cases} 0 & \text{if } \{x, y\} \subseteq A \text{ or } \{x, y\} \subseteq B, \\ 1 & \text{if } \{x, y\} \subseteq C, \\ 2 & \text{otherwise.} \end{cases}$$

Let  $Y_2 \subseteq X, Y_2 \cong Q, Y_2$  semihomogeneous for  $F$  over  $f_P$ . We have  $h: k \rightarrow 3$  with  $F\{x, y\} = h(f_P\{x, y\})$  for  $x, y \in Y_2$ ; it suffices to show  $\text{range}(h) = \{1\}$ .

For contradiction, suppose  $0 \in \text{range}(h)$ , say  $h(\eta) = 0$ . Then  $h$  must assume another value  $d$ , since otherwise  $Y_2 \subseteq A$  or  $Y_2 \subseteq B$ , a contradiction since neither  $A$  nor  $B$  includes an isomorph of  $Q$ . Let  $h(\rho) = d \neq 0$ , and find  $a, b, c \in Y_2$  with  $f_P\{a, b\} = f_P\{b, c\} = \eta$  and  $f_P\{a, c\} = \rho$ . Thus,  $a$  and  $b$ , as well as  $b$  and  $c$ , are both in  $A$  or both in  $B$ , and so  $a$  and  $c$  are both in  $A$  or both in  $B$ , contradicting the choice of  $a, c$ , and  $\rho$ . So  $0 \notin \text{range}(h)$ .

Suppose now  $h(\eta) = 2$  for some  $\eta < k$ . Then  $(\exists \rho < k) h(\rho) = 1$ , since any set on which  $F$  assumes only the value 2 has at most three elements. Let  $a, b, c \in Y_2$  with  $f_P\{a, b\} = f_P\{b, c\} = \rho$  and  $f_P\{a, c\} = \eta$ . Then both  $a$  and  $c$  are in  $C$ , contradicting  $F\{a, c\} = 2$ .

(c) Apply (a) to the characteristic function of  $A$ .

(d) Immediate by semihomogeneity.  $\square$

4.

THEOREM 4.1 (CH) (BLASS [B1], FOR  $n = k = 1$ ). Let  $D$  be an  $n$  Ramsey  $P$  point,  $k \geq 1$ . There exist  $2^{2^n} n + k$  Ramsey  $P$  points  $E$  which are strong immediate successors of  $D$ .

PROOF. Fix  $R$ , a Ramsey ultrafilter, and  $q \in {}^\omega\omega$  such that  $q(D) = R$  (if  $n = 1$ , take  $R = D$  and  $q = \text{identity}$ ). Let  $\langle S_R, L_R \rangle$  be the cardinality cut in  $R$  prod  $\mathbb{N}$  associated to  $q$  and  $D$ . Fix  $\bar{h} \in {}^\omega\omega$  such that  $[\bar{h}]_R \in L_R$ , and let  $H$  be an irreducible

$n$  coloring on  $D$ . Let  $s_0 = q^*(\bar{h})_R = [\bar{h} \circ q]_D$ ,  $s_{i+1} = 2^s$ , and define a cut  $\langle S, L \rangle$  in  $D \text{ prod } \mathbb{N}$  by  $S = \{t \in D \text{ prod } \mathbb{N} : (\exists i \in \omega) t \leq s_i\}$ . Then  $\langle S, L \rangle$  is a fair cut, and  $S$  is closed under exponentiation, multiplication, and addition. Let  $p$  be the first projection from  $\omega^2$  to  $\omega$ .

Let  $\alpha$  be the system of colors  $(1, 1, k)$ . Define  $\alpha$  patterns  $P'_i$  as follows:  $P'_0 = \emptyset$ ,  $P'_1 =$  any scattered  $\alpha$  pattern with  $|P'_1| \geq 4$ ,  $P'_{n+1} \rightsquigarrow (P'_n)_{n+k+1}^2$ . Define  $\alpha$  patterns  $P_i$  by  $P_0 = \emptyset$ ,  $P_1 = P'_1$ ,  $P_{m+1} = P'_l$ , where  $l$  is minimal such that

$$|P'_l| \geq (n + k + 1)^{\sum_{j < m} |P'_j|}.$$

Then, for  $i \geq 1$ ,  $P_i$  is scattered and Lemma 3.3 holds with  $P_{i+1}$  and  $P_i$  in place of  $P$  and  $Q$ , and  $l = n + k + 1$ .

Fix  $\bar{g} \in {}^\omega \omega$  with  $[\bar{g}]_D \in L$ . Let  $X_0 \subseteq \omega^2$  such that  $|X_0 \cap p^{-1}\{m\}| = |P_{\bar{g}(m)}|$ , and order  $X_0 \cap p^{-1}\{m\}$  by  $\langle a, m \rangle < \langle b, m \rangle$  iff  $a < b$ . Define  $G: [\omega^2]^2 \rightarrow n + k$  by

- (a)  $(X_0 \cap p^{-1}\{m\}, G|(X_0 \cap p^{-1}\{m\})) \cong P_{\bar{g}(m)}$ ,
- (b) if  $p(x) \neq p(y)$ , then  $G\{x, y\} = H\{p(x), p(y)\} + k$ ,
- (c)  $G\{x, y\}$  is arbitrary if  $p(x) = p(y)$  and  $\{x, y\} \not\subseteq X_0$ .

Define a  $p$  fiber measure  $\Gamma$  by  $\Gamma(Y)(m) = M$ , where  $M$  is maximal such that  $(Y \cap X_0 \cap p^{-1}\{m\}, G \upharpoonright Y \cap X_0 \cap p^{-1}\{m\})$  includes an isomorph of  $P_M$ . Then  $\Gamma(X_0) = \bar{g}$ .

For each  $f: \omega^2 \rightarrow \omega$ , let  $C_f$  be the condition on  $X: f$  is  $p$  fiberwise constant on  $X$  or  $(q \circ p)$  fiberwise one-to-one on  $X$ .

For each  $F: [\omega^2]^2 \rightarrow n + k + 1$ ,  $C_F$  is the condition:

$$(\forall l \in p''X) |F''[X \cap p^{-1}\{l\}]^2| \leq k \text{ and}$$

$$(\forall x, y, v, w \in X) [q(p(x)) = q(p(y)) = q(p(v)) = q(p(w))$$

$$\wedge p(x) = p(y) \neq p(v) = p(w) \rightarrow F\{x, v\} = F\{y, w\}].$$

We postpone the verification of the hypotheses in Theorem 2.2 and show that the  $2^{2^{\aleph_0}}$   $P$  points  $E$  produced by that theorem satisfy the conclusion of the present theorem. Since  $E$  contains sets satisfying  $C_f$  for each  $f: \omega^2 \rightarrow \omega$ , it follows by the remarks preceding Theorem 2.1 that every  $f: \omega^2 \rightarrow \omega$  is  $p$  fiberwise constant or (globally) one-to-one on a set in  $E$ . Hence  $E$  is a strong immediate successor of  $D$ .

By the definition of  $\Gamma$ ,  $G$  assumes all  $n + k$  values on any large set; since  $E$  consists of large sets,  $G$  is irreducible on  $E$  and so  $E$  is  $\geq n + k$  Ramsey. Let  $F: [\omega^2]^2 \rightarrow n + k + 1$ , and let  $X \in E$  satisfy  $C_F$ . Partition  $p''X$  into  $\binom{n+k+1}{k}$  pieces  $W_i$  such that if  $a$  and  $b$  are in  $W_i$ , then the same  $k$  colors are assumed on  $[X \cap p^{-1}(a)]^2$  and on  $[X \cap p^{-1}(b)]^2$ . Since  $p''X \in D$ , one of these pieces  $\bar{W}$  is in  $D$ ; let  $\eta_0, \dots, \eta_{k-1}$  be the colors assumed on pairs in the same fiber of  $Y = X \cap p^{-1}\bar{W} \in E$ . By Theorem 1.2, there is a set  $Y' \in E$  such that  $F''\{[x, y] \in [Y']^2: q(p(x)) \neq q(p(y))\} = \{\rho\}$ , for some  $\rho < n + k + 1$ . Let  $Z = Y \cap Y' \in E$ .

If  $n = 1$ , then  $q =$  identity, the second half of  $C_X$  is vacuous, and so  $(\forall x, y \in Z)$ ,

$$F\{x, y\} = \begin{cases} \eta_i \text{ (some } i < k) & \text{if } p(x) = p(y), \\ \rho & \text{if } p(x) \neq p(y). \end{cases}$$

Thus  $F$  assumes at most  $k + 1$  colors on  $[Z]^2$ , so  $E$  is  $k + 1$  Ramsey.

If  $n > 1$ , define a partition  $\bar{H}: [\omega]^2 \rightarrow n + k + 2$  by

$$\bar{H}\{l, m\} = \begin{cases} F\{x, y\} & \text{if } q(l) = q(m) \wedge x, y \in Z \wedge p(x) = l \wedge p(y) = m, \\ n + k + 1 & \text{if } q(l) \neq q(m) \vee l \notin p''Z \vee m \notin p''Z. \end{cases}$$

$\bar{H}$  is well defined since  $Z$  satisfies the second half of  $C_F$ . Since  $D$  is  $n$  Ramsey,  $(\exists B \in D)|\bar{H}''[B]^2| \leq n$ , and we can assume  $B \subseteq p''Z$ .  $\bar{H}$  must assume the value  $n + k + 1$  on  $[B]^2$ , so  $\bar{H}$  assumes at most  $n - 1$  values, say  $\beta_0, \dots, \beta_{n-2}$ , on  $\{\{k, l\} \in [B]^2: q(k) = q(m)\}$ . Let  $V = Z \cap p^{-1}B \in V$ . If  $x, y \in V$ , we have

$$F\{x, y\} = \begin{cases} \eta_i \text{ (some } i < k) & \text{if } p(x) = p(y), \\ \rho & \text{if } q(p(x)) \neq q(p(y)), \\ j \text{ (some } j < n - 1) & \text{if } q(p(x)) = q(p(y)) \wedge p(x) \neq p(y). \end{cases}$$

Thus,  $E$  is  $n + k$  Ramsey.

It remains to verify the hypotheses of Theorem 2.2; (i) is satisfied by the set  $X_0$ . For (ii), let  $X$  be large, and let  $A \subseteq \omega^2$ ; without loss of generality  $X \in I$  and  $[\Gamma(X)]_D \in L$ . For each  $l \in \omega$  such that  $\Gamma(X)(l) \geq 1$ , apply Lemma 2.2(c) to  $(X \cap p^{-1}\{l\}, G \upharpoonright X \cap p^{-1}\{l\})$  and  $A \cap p^{-1}\{l\}$  to obtain  $Y_l \subseteq X \cap p^{-1}\{l\}$  such that  $(Y_l, G \upharpoonright Y_l) = P_{\Gamma(X)(l)-1}$  and  $Y_l \subseteq A$  or  $Y_l \cap A = \emptyset$ . If  $\Gamma(X)(l) = 0$ , let  $Y_l = \emptyset$ . Let  $B \in D$  such that  $(\forall l \in B)Y_l \subseteq A$  or  $(\forall l \in B)Y_l \cap A = \emptyset$ ; let  $Z = X \cap p^{-1}B$ . Then  $[\Gamma(Z)]_D = [\Gamma(X)]_D - 1 \in L$  (since  $S$  is closed under the successor function), so  $Z$  is large and either  $Z \cap X = \emptyset$  or  $Z \subseteq X$ .

For (iii), let  $X \in I$ ,  $[\Gamma(X)]_D \in L$ . Apply Lemma 3.3(b) in each fiber  $X \in p^{-1}\{l\}$  such that  $\Gamma(X)(l) \geq 1$  to obtain  $Y_l^0$  and  $Y_l^1$  with  $Y_l^0 \cap Y_l^1 = \emptyset$ ,  $Y_l^i \subseteq X \cap p^{-1}\{l\}$  and  $(Y_l^i, G \upharpoonright Y_l^i) \cong P_{\Gamma(X)(l)-1}$ . Let  $Y = \cup Y_l^0, Z = \cup Y_l^1$ .

For (iv), let  $X \in I$ ,  $[\Gamma(X)] \in L$ , and let  $f: \omega^2 \rightarrow \omega$ . Apply Lemma 3.3(a) to each  $p$ -fiber of  $X$  and argue as above to obtain a large  $Y' \subseteq X$  such that  $f$  is  $p$  fiberwise constant or  $p$  fiberwise one-to-one on  $Y'$ . If the former, then  $Y'$  satisfies  $C_f$ . If the latter, let  $B \in D$  such that  $(\forall a \in \omega)C_{B,q}(a) \leq \bar{h}(a)$  (there exists such a  $B \in D$  since  $[\bar{h}]_R \in L_R$ ). Let  $Y = Y' \cap p^{-1}(B)$ ;  $Y$  is large. Temporarily fix  $a \in (q \circ p)''Y$ , and let  $B \cap q^{-1}\{a\} = \{m_0, \dots, m_{l-1}\}$  and  $\Gamma(Y)(m_i) = M_i$ . Then  $l < \bar{h}(a)$ .

Reorder  $\{m_i: 0 \leq i < l\}$  so that  $M_i \geq M_{i+1}$ . Choose  $\Omega_i \subseteq Y \cap p^{-1}\{m_i\}$  with  $\Omega_i \cong P_{M_i-2i}$  ( $\Omega_i = \emptyset$  if  $M_i - 2i \leq 0$ ). For each  $i, 0 \leq i < l - 2$ , define  $g_i: \Omega_i \rightarrow 2$  by  $g_i(x) = 0$  iff  $(\exists y \in \cup_{i < j < l} \Omega_j)f(x) = f(y)$ . By Lemma 3.3(a), there is a set  $\psi_i \subseteq \Omega_i, \psi_i \cong P_{M_i-2i-1}$ , such that  $g_i$  is one-to-one or constant on  $\psi_i$ . For nonempty  $\psi_i, g_i$  is constant on  $\psi_i$ , since  $|\psi_i| \geq 4$ . For each  $i$ , we claim that  $g_i''\psi_i = \{1\}$ , since otherwise  $|\psi_i| \leq \sum_{i < j < l} |\Omega_j|$ ; hence  $|P_{M_i-2i-1}| \leq \sum_{i < j < l} |P_{M_i-2j}|$ , contradicting the definition of  $\{P_m\}$ . Let  $\psi_{l-1} = \Omega_{l-1}$ , and let  $\Delta_a = \cup_{0 \leq i < l} \psi_i$ ; then  $f$  is one-to-one on  $\Delta_a$ .

Let  $Z = \cup_{a \in (q \circ p)''Y} \Delta_a$ . Then  $Z$  satisfies  $C_f$ , and for all  $m \in \omega, \Gamma(Z)(m) \geq \Gamma(Y)(m) - 2\bar{h}(q(m)) - 1$ , so  $[\Gamma(Z)]_D \geq [\Gamma(Y)] - 2[\bar{h} \circ q] - 1$ . Since  $[\bar{h} \circ q]_D \in S$  and  $S$  is closed under addition and multiplication, it follows that  $[\Gamma(Z)]_D \in L$ , so  $Z$  is large.

Now suppose  $X \in I, [\Gamma(X)]_D \in L$ , and  $F: [\omega^2]^2 \rightarrow n + k + 1$ . Apply Lemma 3.3(d) to each fiber  $X \cap p^{-1}\{l\}$  to obtain  $A_l \subseteq X \cap p^{-1}\{l\}, A_l \cong P_{\Gamma(X)(l)-1}$  such

that  $|F''[A_i]^2| \leq k$ . Let  $X' = \cup_{i \in \omega} A_i$ ; then  $X'$  is large and satisfies the first half of condition  $C_F$ . Let  $B \in D$  such that  $(\forall a \in \omega) C_{B,q}(a) \leq \bar{h}(a)$ . Let  $Y = X' \cap p^{-1}B$ ;  $Y$  is large. Fix  $a \in (q \circ p)''Y$ , let  $B \cap q^{-1}\{a\} = \{m_0, \dots, m_{l-1}\}$ , ordered so that if  $M_i = \Gamma(Y)(m_i)$ , then  $M_i \geq M_{i+1}$ . Choose  $\Omega_i \subseteq Y \cap p^{-1}\{m_i\}$  such that  $\Omega_i \cong P_{M_i-2i}$ . For each  $i$ ,  $0 \leq i \leq l-2$ , define a function  $g_i$  on  $\Omega_i$  by  $g_i(x) = \langle z, \eta \rangle$ :  $z \in \cup_{i < j < l} \Omega_j \wedge F\{x, z\} = \eta$ . By Lemma 3.3(a), find  $\psi_i \subseteq \Omega_i$ ,  $\psi_i = P_{M_i-2i-1}$  such that  $g_i$  is one-to-one or constant on  $\psi_i$ . We claim that  $g_i$  is constant on  $\psi_i$ .

The number of possible functions  $g_i(x)$ , for  $x \in \Omega_i$ , is

$$\begin{aligned} (n+k+1)^{\sum_{i < j < l} |\Omega_j|} &= (n+k+1)^{\sum_{i < j < l} |P_{M_j-2j}|} \\ &\leq (n+k+1)^{\sum_{m < M_i-2i-1} |P_m|} < |P_{M_i-2i-1}| = |\psi_i|. \end{aligned}$$

Thus  $g_i$  is not one-to-one on  $\psi_i$ . Let  $\psi_{l-1} = \Omega_{l-1}$ ; then if  $i < j \leq l-1$ , and  $x, y \in \psi_i$  and  $z \in \psi_j$ , then  $F\{x, z\} = F\{y, z\}$ .

Now suppose  $1 \leq j \leq l-1$  and  $z \in \psi_j$ . The number of possible ways to assign  $n+k+1$  colors to pairs of the form  $\{x, z\}$  for  $x \in \cup_{0 \leq i < j} \psi_i$  is  $(n+k+1)^j$  (by the last sentence of the last paragraph). For each  $j$ ,  $1 \leq j \leq l-1$ , partition  $\psi_j$  into  $(n+k+1)^j$  pieces so that if  $z$  and  $w$  lie in the same piece, then  $(\forall x \in \cup_{i < j} \psi_i) F\{x, z\} = F\{x, w\}$ . Apply Lemma 3.3(c)  $(n+k+1)^j - 1$  times to  $\psi_j$  and this partition to obtain

$$\chi_j \subseteq \psi_j, \quad \chi_j \cong P_{M_j-2j-(n+k+1)^j}$$

such that  $\chi_j$  is included in one of the pieces. Let  $\chi_0 = \psi_0$ .

Let  $\Delta_a = \cup_{0 \leq j < l-1} \chi_j$ . Then  $(\forall x, y, z, w \in \Delta_a)[p(x) = p(y) \neq p(z) = p(w) \rightarrow F\{x, z\} = F\{y, w\}]$ . Let  $Z = \cup_{a \in (q \circ p)''Y} \Delta_a$ . Then  $Z$  satisfies the second half, and hence all of,  $C_F$ . For all  $m \in P''Z$ ,

$$\Gamma(Z)(m) \geq \Gamma(Y)(m) - 2\bar{h}(q(m)) - (n+k+1)^{\bar{h}(q(m))}.$$

Since  $[h \circ q]_D \in S$  and  $S$  is closed under exponentiation,  $[\Gamma(Z)]_D \in L$ , so  $Z$  is large.  $\square$

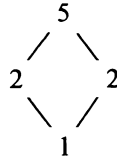
In [D], Dagenet showed (CH) that for any  $n$ , there exist  $n$  Ramsey  $P$  points  $E$  whose (nonstandard) constellations are linearly ordered in the form

$$\begin{array}{c} n \\ n-1 \\ \vdots \\ \vdots \\ 2 \\ 1 \end{array}$$

where the number  $j$  indicates that if  $[f]_E$  is in that constellation, then  $f(E)$  is  $j$  Ramsey. Such an  $E$  is said to be *strictly  $n$  Ramsey* (clearly any strictly  $n$  Ramsey ultrafilter is 2 square). The main result in [R] is that any 2 square ultrafilter is strictly  $n$  Ramsey for some  $n \in \omega$ . Our theorem shows (CH) that any linearly ordered, strictly increasing finite sequence which begins with 1 occurs as the constellation structure of a weakly Ramsey  $P$  point. Thus, for any  $k > 3$ , there exist (CH) many  $k$  Ramsey  $P$  points which are not 2 square.



5. In this section we show (CH) that there exist 5 Ramsey  $P$  points whose constellation structure is



In a sense, this is the best possible result, for a result of the author [R] shows that for  $k \leq 4$ , any  $k$  Ramsey ultrafilter has a linearly ordered constellation lattice.

We will start with any Ramsey ultrafilter  $R$  and then simultaneously construct 2 Ramsey ultrafilters  $D_0$  and  $D_1$ , with  $g(D_0) = g(D_1) = R$  (for some  $g \in {}^\omega\omega$ ) such that if  $E$  is any ultrafilter on  $\omega^2$  which extends the filter  $D_0 \times D_1$  and includes the set  $\{(x, y) : g(x) = g(y)\}$ , then  $E$  will be the desired 5 Ramsey  $P$  point. The construction of  $D_0$  and  $D_1$  follows Theorem 2.2, except that hypothesis (ii) of that theorem, which insures that the resulting filter is an ultrafilter, is not satisfied. Thus we construct a filter  $F$  which then extends to the ultrafilters  $D_0$  and  $D_1$  (by conditions  $C_Z$  below). Conditions  $C_f$  force the ultrafilter  $E$  to be a  $P$  point, which in conjunction with condition  $C_H$  will show that  $E$  is 5 Ramsey.

Let  $\alpha$  be the system of colors  $(1, 2)$ . Thus an  $\alpha$  pattern  $P = (X, f_P)$  is simply a partition of  $X$  into 2 pieces. We denote the ordering on  $X$  by  $<$ , and let  $P^i = X^i = \{x \in X : f_P\{x\} = i\}$  ( $i = 0, 1$ ). Let  $P_0 = \emptyset$ ,  $P_1 = \{x_1, \dots, x_8\}$  where  $x_i < x_{i+1}$  and  $P_1^0 = \{x_1, x_2, x_3, x_4\}$ . Suppose we have defined  $P_m$  so that

$$(*) \quad (\forall x \in P_m^0)(\forall y \in P_m^1)x < y.$$

Let  $P_{m+1}^l \rightsquigarrow (P_m^l)_6^l$  for  $l = 2, 3, 4$ . Let  $P_{m+1}$  have the same underlying set as  $P_{m+1}^l$ , let  $f_{P_{m+1}} = f_{P_{m+1}^l}$ , and define the ordering  $<$  on  $P_{m+1}$  from the ordering  $<'$  on  $P_{m+1}^l$  by  $z < \omega$  iff  $(f_{P_{m+1}}(z) = f_{P_{m+1}^l}(w) \wedge z <' w) \vee (z \in P_{m+1}^0 \wedge w \in P_{m+1}^1)$ . Then  $P_{m+1}$  satisfies  $(*)$ , and it is easy to check that  $P_{m+1} \rightsquigarrow (P_m^l)_6^l$  for  $l = 2, 3, 4$ .

Assume CH, let  $R$  be a Ramsey ultrafilter, and let  $\langle S, L \rangle = \langle \mathbb{N}, R \text{ prod } \mathbb{N} - \mathbb{N} \rangle$ . Then  $\langle S, L \rangle$  is a fair cut. Let  $p$  be the first projection from  $\omega^2$  to  $\omega$ , and let  $\bar{X} \subseteq \omega^2$  such that  $|\bar{X} \cap p^{-1}\{n\}| = |P_n|$ . Define an  $\alpha$  pattern  $G^n$  in each fiber  $p^{-1}\{n\} \cap \bar{X}$  such that  $G^n \cong P_n$  (ordered by  $(a, n) < (b, n)$  iff  $a < b$ ). Define  $\Gamma$  by  $\Gamma(X)(n) = \text{maximum } M \text{ such that } (X \cap \bar{X} \cap p^{-1}\{n\}, G^n \upharpoonright X \cap \bar{X} \cap p^{-1}\{n\})$  includes an isomorph of  $P_M$ . For any  $Y \subseteq \omega^2$ , let

$$Y^i = \bigcup_{n \in \omega} \{x \in Y \cap \bar{X} \cap p^{-1}\{n\} : G^n\{x\} = i\} \quad (i = 0, 1),$$

and let  $\Delta_n Y = (Y^0 \cap p^{-1}\{n\}) \times (Y^1 \cap p^{-1}\{n\})$ .

For each  $H : [\omega^2]^l \rightarrow 6$ , for  $l = 2, 3, 4$ , let  $C_H$  be the condition:  $X \subseteq \bar{X}$  and  $(\forall n \in p''X) X \cap p^{-1}\{n\}$  is semihomogeneous for  $H$  over  $G^n$ .

For each  $Z \subseteq \omega^2$ ,  $C_Z$  is the condition  $(X^0 \subseteq Z \vee X^0 \cap Z = \emptyset) \wedge (X^1 \subseteq Z \vee X^1 \cap Z = \emptyset)$ .

For each  $f : \bigcup_{n \in \omega} \Delta_n X \rightarrow \omega$ ,  $C_f$  is the condition:  $X \subseteq \bar{X}$  and at least one of the following holds:

$$(1) (\forall x \in X^0)(\forall y, z \in X^1)[p(x) = p(y) = p(z) \rightarrow f(x, y) = f(x, z)],$$

- (2)  $(\forall x, y \in X^0)(\forall z \in X^1)[p(x) = p(y) = p(z) \rightarrow f(x, y) = f(y, z)]$ , or
- (3)  $(\forall m, n \in p''X)(m \neq n \rightarrow f''\Delta_m X \cap f''\Delta_n X = \emptyset)$ .

Hypothesis (i) of Theorem 2.2 is satisfied by  $\bar{X}$ . (iii) can be verified easily using the following

LEMMA 5.1. *Let  $m \geq 1$ . Then  $(\exists Q_0, Q_1 \subseteq P_m)(Q_0 \cong Q_1 \cong P_{m-1} \wedge Q_0 \cap Q_1 = \emptyset)$ .*

PROOF. Similar to Lemma 3.3(b) (let  $Q_0 = A \cup B$ ,  $A \cap B = \emptyset$ ,  $A \cap Q_0^i \neq \emptyset$ , and  $B \cap Q_0^i \neq \emptyset$  for  $i = 0, 1$ ; define  $F$  as in Lemma 3.3(b)).  $\square$

The parts of (iv) which demand large sets satisfying  $C_H$  can be verified by arguments like those in §4; for  $C_Z$ , use the following

LEMMA 5.2. *If  $m \geq 2$ ,  $A \subseteq P_m$ , then  $(\exists Q \subseteq P_m)[Q \cong P_{m-1} \wedge (\forall i < 1)(Q^i \subseteq A \vee Q^i \cap A = \emptyset)]$ .*

PROOF. Let  $F: [P_m]^2 \rightarrow 2$  be defined by  $F\{x, y\} = 1$  iff  $\{x, y\} \cap A = 1$ . Let  $Q \subseteq P_m$ ,  $Q \cong P_{m-1}$ ,  $Q$  semihomogeneous for  $F$  over  $f_p$ . Since  $Q^i$  is homogeneous for  $F$  and  $|Q^i| > 3$ , it follows that  $F''[Q^i]^2 = \{0\}$ .  $\square$

We now verify hypothesis (iv) for  $C_f$ .

LEMMA 5.3. *If  $f$  is any function defined on  $P_m^0 \times P_m^1$ , then  $\exists Q \subseteq P_m$ ,  $Q \cong P_{m-1}$ , such that at least one of the following holds:*

- (1)  $(\forall x \in Q^0) f$  is constant on  $\{x\} \times Q^1$ ,
- (2)  $(\forall x \in Q^1) f$  is constant on  $Q^0 \times \{x\}$ , or
- (3) for each  $(x, y) \in Q^0 \times Q^1$ ,  $f$  is one-to-one on both  $\{x\} \times Q^1$  and  $Q^0 \times \{y\}$ .

PROOF. Define  $H: [P_m]^3 \rightarrow 2$  by, for  $x_1 < x_2 < x_3$ ,  $H\{x_1, x_2, x_3\} = 0$  iff  $[x_1, x_2 \in P_m^0 \wedge x_3 \in P_m^1 \wedge f(x_1, x_3) = f(x_2, x_3)] \vee [x_1 \in P_m^0 \wedge x_2, x_3 \in P_m^1 \wedge f(x_1, x_2) = f(x_1, x_3)]$ . Let  $Q \subseteq P_m$ ,  $Q \cong P_{m-1}$ ,  $Q$  semihomogeneous for  $H$  over  $f_p$ . Then  $H$  assumes only one value on  $s_0 = \{\{x_1, x_2, x_3\} \in [Q]^3: x_1, x_2 \in Q^0 \wedge x_3 \in Q^1\}$  and only one value on  $s_1 = \{\{x_1, x_2, x_3\} \in [Q]^3: x_1 \in Q^0 \wedge x_2, x_3 \in Q^1\}$ . If  $H''s_0 = \{0\}$ , then  $Q$  satisfies (2). If  $H''s_1 = \{0\}$ , then  $Q$  satisfies (1). If  $H''s_0 = H''s_1 = \{1\}$ , then  $Q$  satisfies (3).  $\square$

Now suppose  $X$  is large and  $f: \bigcup_{n \in \omega} \Delta_n X \rightarrow \omega$ . Apply Lemma 5.3 in each fiber of  $X \cap \bar{X}$  to obtain  $Q_n \subseteq X \cap \bar{X} \cap p^{-1}\{n\}$ ,  $Q_n \cong P_{\Gamma(x)(n)-1}$ , such that  $Q_n$  satisfies one of the conclusions of the lemma. Let  $A \in R$  such that  $(\forall n \in A) Q_n$  satisfies the same conclusion and let  $Y = \bigcup_{n \in A} Q_n$ . Then  $Y$  is large; if the conclusion satisfied in the fibers of  $Y$  is (1) or (2), then  $Y$  satisfies the same conclusion in  $C_f$ . Assume the conclusion satisfied is (3). Define  $H: [A]^2 \rightarrow 2$  by, for  $m < n$ ,  $H\{m, n\} = 0$  iff  $\Gamma(Y)(n) \leq \sum_{j \in A, j < m} |Q_j^0| \cdot |Q_j^1| + 1$ .

Since  $R$  is Ramsey, there is a set  $B \in R$ ,  $B \subseteq A$ , which is homogeneous for  $H$ . Then  $H''[B]^2 = 1$ , since otherwise  $\{\Gamma(Y)(j): j \in B\}$  is bounded, a contradiction since  $Y \cap p^{-1}B$  is large.

We now define, by induction on  $n \in B$ , sets  $\bar{Q}_n \subseteq Q_n$ ,  $\bar{Q}_n \cong \Gamma(Y)(n) - 1$ , such that if  $m, n \in B$ ,  $m < n$ , then  $f''(\bar{Q}_m^0 \times \bar{Q}_m^1) \cap f''(\bar{Q}_n^0 \times \bar{Q}_n^1) = \emptyset$ . If  $m_0$  is the least element of  $B$ , let  $\bar{Q}_{m_0} \subseteq Q_{m_0}$ ,  $\bar{Q}_{m_0} \cong P_{\Gamma(Y)(m_0)-1}$ . Let  $n \in B$ , and suppose we have

defined  $\bar{Q}_m$  for  $m < n, m \in B$ . Define  $\psi: [Q_n]^2 \rightarrow 2$  by  $\psi\{x, y\} = 0$  iff  $x \in Q_n^0 \wedge y \in Q_n^1 \wedge f(x, y) \notin \bigcup_{m < n; m \in B} f''\bar{Q}_m^0 \times \bar{Q}_m^1$ . Let  $\bar{Q}_n \subseteq Q_n, \bar{Q}_n \cong P_{\Gamma(Y)(n)-1}, \bar{Q}_n$  semihomogeneous for  $\psi$  over  $f_0$ . Then  $\psi$  assumes only one value on  $T = \{\{x, y\} \in [Q_n]^2: x \in Q_n^0 \wedge y \in Q_n^1\}$ . Since  $f$  is one-to-one on  $\{x\} \times \bar{Q}_n^1, f$  assumes at least  $|\bar{Q}_n^1| \geq \Gamma(Y)(n) - 1 > \sum_{j < n; j \in B} |Q_j^0| \cdot |Q_j^1|$  values on  $\bar{Q}_n^0 \times \bar{Q}_n^1$ . Thus  $\psi''T = \{0\}$ ; this completes the construction of  $\{\bar{Q}_n: n \in B\}$ .

Let  $Z = \bigcup_{n \in B} \bar{Q}_n$ . Then  $Z$  satisfies (3) in  $C_f$ , and  $Z$  is large, since  $[\Gamma(Z)]_R + 2 \succ [\Gamma(X)]_R$ ; thus, hypothesis (iv) of Theorem 2.2 is satisfied.

Hypothesis (ii) is not satisfied by  $\Gamma, p, R$ , and  $\langle S, L \rangle$ . If we follow the proof of Theorem 2.2, omitting the steps where we satisfy (ii), then, for each  $\rho: \aleph_1 \rightarrow 2$ , we obtain a filter  $F_\rho$  on  $\omega^2$  (instead of an ultrafilter) such that for any condition  $C_H, C_f$ , or  $C_Z, F_\rho$  contains a set satisfying that condition. Fix  $\rho: \aleph_1 \rightarrow 2$ , and let  $F = F_\rho$ . Then  $F \cup \{\bar{X}^i\}$  generates an ultrafilter  $\bar{D}_i$  (for  $i = 0, 1$ ), since  $F$  contains sets satisfying  $C_Z$  for each  $Z \subseteq \omega^2$ , and  $\bar{D}_i$  is a  $P$  point. Since  $F$  contains sets satisfying  $C_H$  for all  $H: [\omega^2]^2 \rightarrow 2$ , it follows that  $\bar{D}_i$  is 2 Ramsey (use Theorem 1.2).

For notational ease, we identify  $\bar{X}$  with  $\omega$  as follows. If  $x, y \in \bar{X}$ , then define  $x \Delta y$  if  $p(x) < p(y) \vee (p(x) = p(y) \wedge x < y)$ , where  $<$  is the ordering given by  $G^n$ . Then  $\Delta$  well orders  $\bar{X}$  in order type  $\omega$ ; let  $\phi: \bar{X} \rightarrow \omega$  be the order isomorphism. View  $\bar{D}_i$  as an ultrafilter on  $\bar{X}$ , let  $D_i = \phi(D_i)$  and  $g = p \circ \phi^{-1}$ . Then  $D_i$  is an ultrafilter on  $\omega$ , and  $g(D_i) = R$  ( $i = 0, 1$ ).

Let  $p_0$  and  $p_1$  be the first and second projections, respectively, from  $\omega^2$  to  $\omega$ . By Theorem 1 in [B2], there is an ultrafilter  $E$  on  $\omega^2$  such that  $p_i(E) = D_i$  and  $\{(x, y) \in \omega^2: g(x) = g(y)\} \in E$ . We claim that any such  $E$  is a 5 Ramsey  $P$  point. First, the constellations of  $E$  are not linearly ordered ( $\text{con}([P_0]_E)$  and  $\text{con}([P_1]_E)$  are incomparable), so  $E$  is  $\geq 5$  Ramsey. Since  $E$  contains the set  $\{(x, y): g(x) = g(y)\}$ , it follows that the skies of  $D_0 \text{ prod } \mathbb{N}$  and  $D_1 \text{ prod } \mathbb{N}$  are embedded in the same sky  $\bar{S}$  of  $E \text{ prod } \mathbb{N}$  (by  $p_0^*$  and  $p_1^*$ , respectively), which must be the highest sky of  $E \text{ prod } \mathbb{N}$  (for a discussion of skies, see [P] and [B2]). To show that  $E$  is a  $P$  point, we show that  $\bar{S}$  is the only sky of  $E \text{ prod } \mathbb{N}$ .

Let  $f \in {}^{(\omega^2)}\omega$ . Define  $\hat{f}: \bigcup_{n \in \omega} \Delta_n \bar{X} \rightarrow \omega$  by  $\hat{f}(a, b) = f(\phi(a), \phi(b))$ . Let  $X \in E$  satisfy  $C_{\hat{f}}$ , and let  $A^i = \phi''X^i$ . Then  $A_i \in D_i$ , so  $V = \{(x, y): x \in A_0, y \in A_1, g(x) = g(y)\} \in E$ . Since  $X$  satisfies  $C_{\hat{f}}$ , one of the following holds:

- (1)  $f$  is  $p_0$  fiberwise constant on  $V$ ,
- (2)  $f$  is  $p_1$  fiberwise constant on  $V$ , or
- (3)  $(\forall s, t \in V)(f(s) = f(t) \rightarrow g(p_0(s)) = g(p_0(t)))$ .

If (1) (resp. (2)) holds, then  $[f]_E \in p_0^{*} D_0 \text{ prod } \mathbb{N}$  (resp.  $[f]_E \in p_1^{*} D_1 \text{ prod } \mathbb{N}$ ). If (3) holds, then  $g \circ p_0$  is  $f$  fiberwise constant on  $V \in E$ , so  $\text{con}([g \circ p_0]_E)$  lies below  $\text{con}([f]_E)$ , and thus the sky of  $[f]_E$  cannot be below the sky of  $[g \circ p_0]_E$ , which is  $\bar{S}$ . In all three cases, the sky of  $[f]_E$  is  $\bar{S}$  (or  $[f]_E$  is standard). Thus  $E$  is a  $P$  point.

To show that  $E$  is 5 Ramsey, let  $\Sigma: [\omega^2]^2 \rightarrow 6$ . Since  $E$  is a  $P$  point and  $R$  is Ramsey, there is a set  $V_1 \in E$  such that  $\Sigma$  assumes only one value  $\beta$  on  $\{\{s, t\} \in [V_1]^2: g(p_0(s)) \neq g(p_0(t))\}$ .

Define a partition  $\Omega_3: [\bar{X}]^3 \rightarrow 6$  as follows.  $\Omega_3\{x_1, x_2, x_3\}$  is arbitrary if

$$\neg(p(x_1) = p(x_2) = p(x_3)) \vee \{x_1, x_2, x_3\} \subseteq \bar{X}^i \text{ for } i = 0, 1.$$

Otherwise, for  $x_1 < x_2 < x_3$ , let

$$\Omega_3\{x_1, x_2, x_3\} = \begin{cases} \Sigma\{(\phi(x_1), \phi(x_2)), (\phi(x_1), \phi(x_3))\} & \text{if } x_1 \in \bar{X}^0 \wedge x_2, x_3 \in \bar{X}^1, \\ \Sigma\{(\phi(x_1), \phi(x_3)), (\phi(x_2), \phi(x_3))\} & \text{if } x_1, x_2 \in \bar{X}^0 \wedge x_3 \in \bar{X}^1. \end{cases}$$

Let  $Y \in F$  satisfy  $C_{\Omega_3}$ . By cutting down  $p''Y$  appropriately, we can assume that  $\Omega_3$  takes only one value  $\gamma$  (resp.  $\delta$ ) on  $\{\{x_1, x_2, x_3\} \in [Y]^3: p(x_1) = p(x_2) = p(x_3) \wedge x_1 \in Y^0 \wedge x_2, x_3 \in Y^1\}$  (resp. on  $\{\{x_1, x_2, x_3\} \in [Y]^3: p(x_1) = p(x_2) = p(x_3) \wedge x_1, x_2 \in Y^0 \wedge x_3 \in Y^1\}$ ). Let  $A_i = \phi''Y^i$  for  $i = 0, 1$ ; then  $A_i \in D_i$  and  $V_2 = \{(x, y) \in \omega^2: x \in A_1, y \in A_2 \wedge g(x) = g(y)\} \in E$ . We have  $\Sigma''\{\{s, t\} \in [V_2]^2: p_0(s) = p_0(t) = \{\gamma\}\}$ , and  $\Sigma''\{\{s, t\} \in [V_2]^2: p_1(s) = p_1(t) = \{\delta\}\}$ .

Define partitions  $\Omega_4$  and  $\bar{\Omega}_4$  on  $[X]^4$  as follows.  $\Omega_4$  and  $\bar{\Omega}_4$  are arbitrary on  $\{x_1, x_2, x_3, x_4\}$  if

$$\neg(p(x_1) = p(x_2) = p(x_3) = p(x_4)) \vee \neg|\{x_1, x_2, x_3, x_4\} \cap \bar{X}^0| = 2.$$

Otherwise, for  $x_1 < x_2 < x_3 < x_4$ , let

$$\Omega_4\{x_1, x_2, x_3, x_4\} = \Sigma\{(\phi(x_1), \phi(x_3)), (\phi(x_2), \phi(x_4))\},$$

and

$$\bar{\Omega}_4\{x_1, x_2, x_3, x_4\} = \Sigma\{(\phi(x_1), \phi(x_4)), (\phi(x_2), \phi(x_3))\}.$$

Let  $Y \in F$  (resp.  $\bar{Y} \in F$ ) satisfy  $C_{\Omega_4}$  (resp.  $C_{\bar{\Omega}_4}$ ). By cutting down  $p''Y$  (resp.  $p''\bar{Y}$ ) appropriately, we can assume that  $\Omega_4$  (resp.  $\bar{\Omega}_4$ ) assumes only one value  $\eta$  (resp.  $\bar{\eta}$ ) on  $\{c \in [Y]^4: |p''c| = 1 \wedge |c \cap Y^0| = 2\}$  (resp. the same with  $\bar{Y}$  for  $Y$ ). Let  $B_i = \phi''Y^i$ ,  $\bar{B}_i = \phi''\bar{Y}^i$ ,  $i = 0, 1$ ; then  $B_i, \bar{B}_i \in D_i$ . Let  $V_3 = \{(x, y) \in \omega^2: x \in B_0, y \in B_1, g(x) = g(y)\}$ , and  $\bar{V}_3 = \{(x, y) \in \omega^2: x \in \bar{B}_0, y \in \bar{B}_1, g(x) = g(y)\}$ ; then  $V_3, \bar{V}_3 \in E$ .

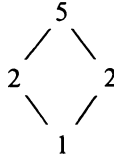
Let  $W = V_1 \cap V_2 \cap V_3 \cap \bar{V}_3$ . Then  $W \in E \wedge (\forall s \in W)g(p_0(s)) = g(p_1(s))$ . Let us agree to write an element of  $[W]^2$  as  $\{(x, y), (z, w)\}$  if  $x < z$  or  $x = z$  and  $y < w$ . Then, if  $\{(x, y), (z, w)\} \in [W]^2$ , we have

$$\Sigma\{(x, y), (z, w)\} = \begin{cases} \beta & \text{if } g(x) \neq g(z), \\ \gamma & \text{if } x = z, \\ \delta & \text{if } y = w, \\ \eta & \text{if } x < z \wedge y < w \wedge g(x) = g(z), \\ \bar{\eta} & \text{if } x < z \wedge w < y \wedge g(x) = g(z). \end{cases}$$

By our notational convention and the fact that  $W \subseteq \{(x, y): g(x) = g(y)\}$ , we conclude that  $|\Sigma''[W]^2| \leq 5$ .

**THEOREM 5.4 (CH).** *There exist  $2^{2^{\aleph_0}}$  5 Ramsey  $P$  points  $E$  such that  $E \text{ prod } \mathbb{N}$  has exactly 4 nonstandard constellations, two of which correspond to 2 Ramsey ultrafilters.*

Thus the constellation structure of these ultrafilters is



PROOF. It only remains to show that there are no other constellations. But if there were, then  $E$  would be 2 square, and then the constellations of  $E$  would be linearly ordered [R].  $\square$

6. Some natural questions follow. Does Theorem 4.1 hold if we replace “ $P$  point” by “ultrafilter”? Does Theorem 5.4 hold with arbitrary  $n \geq 6$  in the place of “5”? The ultimate problem here is to find a characterization of all those lattices which occur (CH) as the constellation structure of a weakly Ramsey ultrafilter; this problem, even restricted to  $P$  points, seems quite complicated.

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